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TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>		Application Number	10/687,019
		Filing Date	October 15, 2003
		First Named Inventor	John W. Barrus
		Group Art Unit Number	2176
		Examiner Name	Michael K. Botts
Total Number of Pages in This Submission	6	Attorney Docket Number	20412-07973

ENCLOSURES (check all that apply)	
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REMARKS:	

SIGNATURE OF ATTORNEY OR AGENT		
Signature:		
Attorney/Reg. No.:	Greg T. Sueoka, Reg. No. 33,800	Dated: 7/10/06

CERTIFICATE OF MAILING		
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: John W. Barrus et al.
APPLICATION NO.: 10/687,019
FILING DATE: October 15, 2003
TITLE: Document Collection Manipulation
EXAMINER: Michael K. Botts
GROUP ART UNIT: 2176
ATTY. DKT. NO.: 20412-07973

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated: 7/10/06

By: Greg T. Sueoka
Greg T. Sueoka, Reg. No.: 33,800

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☐ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;

- ☒ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
 - ☒ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☒ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
 - ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this

certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(d); OR
- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. _____, filed on _____, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ Copies of any foreign patent documents and non-patent literature cited herein are enclosed.
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
JOHN W. BARRUS ET AL.

Dated: _____

7/10/06

By: _____

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

Application No.	10/687,019
Filing Date	October 15, 2003
First Named Inventor	John W. Barrus et al.
Art Unit	2176
Examiner Name	Michael K. Botts
Attorney Docket Number	20412-07973

Sheet 1 of 2

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1	US-6,409,401	06-25-2002	Petteruti et al.
	A2	US-6,301,660	10-09-2001	Benson
	A3	US-6,009,442	12-28-1999	Chen et al.
	A4	US-5,870,552	02-09-1999	Dozier et al.
	A5	US-5,812,664	09-22-1998	Bernobich et al.
	A6	US-5,765,176	06-09-1998	Bloomberg
	A7	US-5,761,686	06-02-1998	Bloomberg
	A8	US-5,754,939	05-19-1998	Herz et al.
	A9	US-5,754,308	05-19-1998	Lopresti et al.
	A10	US-5,734,752	03-31-1998	Knox
	A11	US-5,715,381	02-03-1998	Hamilton
	A12	US-5,710,874	01-20-1998	Bergen
	A13	US-5,680,223	10-21-1997	Cooper et al.
	A14	US-5,581,682	12-03-1996	Anderson et al.
	A15	US-5,499,108	03-12-1996	Cotte et al.
	A16	US-5,459,307	10-17-1995	Klotz Jr.
	A17	US-5,448,375	09-05-1995	Cooper et al.
	A18	US-5,369,508	11-29-1994	Lech et al.
	A19	US-5,299,123	03-29-1994	Wang et al.
	A20	US-5,280,609	01-18-1994	MacPhail
	A21	US-5,267,303	11-30-1993	Johnson et al.
	A22	US-5,255,389	10-19-1993	Wang
	A23	US-5,243,381	09-07-1993	Hube
	A24	US-5,225,900	07-06-1993	Wright
	A25	US-5,168,371	12-01-1992	Takayanagi
	A26	US-5,161,037	11-03-1992	Saito
	A27	US-5,060,135	10-22-1991	Levine et al.

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

20412/07973/DOCS/1637390.1



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

Application No.	10/687,019
Filing Date	October 15, 2003
First Named Inventor	John W. Barrus et al.
Art Unit	2176
Examiner Name	Michael K. Botts
Attorney Docket Number	20412-07973

Sheet 2 of 2

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A28	US-4,987,447	01-22-1991	Ojha

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶

Examiner Signature		Date Considered	
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